

HT PARTNERS LLC PRIVACY NOTICE

FACTS

WHAT DOES HT PARTNERS LLC DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security Number, Address and Phone Number
- Medical Information, Account Balance, Transaction and Credit History
- Tax Information and Investment History

How?

All financial companies need to share client's personal information to run their everyday business. In the section below, we list the reasons financial companies can share their client's personal information; the reasons HT Partners LLC chooses to share; and whether you can limit this sharing.

REASONS WE SHARE YOUR PERSONAL INFORMATION

Does HT Partners LLC Share?

Can you Limit Sharing?

For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus

Yes

No

For our marketing purposes - to offer our products and services to you

Yes

Yes

For joint marketing with other financial companies

No

n/a

For our affiliates' everyday business purposes - information about your transactions and experiences

Yes

Yes

For our affiliates' everyday business purposes - information about your creditworthiness

No

n/a

For our affiliates to market to you

Yes

Yes

For our nonaffiliates to market to you

No

n/a

To Limit Our Sharing

Call (860) 662-4197 or Visit us online: www.htpartnersfamilywealth.com

Please note: If you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.

Questions

Questions? Call (860) 662-4197 or send an email to peter.decker@htptrs.com

Who We Are

Who is providing this notice?

HT Partners LLC

What We Do

How does HT Partners LLC protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does HT Partners LLC collect my personal information?

We collect your personal information, for example, when you:

- complete a client survey or open an account
- seek investment advice or request help managing a business
- communicate with us regarding your investments

Why can't I limit all sharing?

Federal law gives you the right to limit only:

- sharing for affiliates' everyday business purposes - information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

What happens when I limit sharing for an account I hold jointly with someone else?

Your sharing limitation will apply to all persons holding the account.

Definitions

Affiliates Companies related by common ownership or control. They can be financial and nonfinancial companies. *Affiliates include Christopher J. Koehm, CPA and Essex Insurance Group, Inc.*

Nonaffiliates Companies not related by common ownership or control. They can be financial and nonfinancial companies.

Joint Marketing A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

Other Important Information

We maintain physical, electronic and procedural safeguards that comply with applicable federal and/or state standards to protect your non-public personal information. We have rigorous restrictions on our electronic client data, including the use of ID and password access and secure daily back-up procedures. All physical client files, documents and other records are stored in fire-proof locked cabinets and destroyed or shredded before elimination.